

On the Joint Content Caching and User Association Problem in Small Cell Networks

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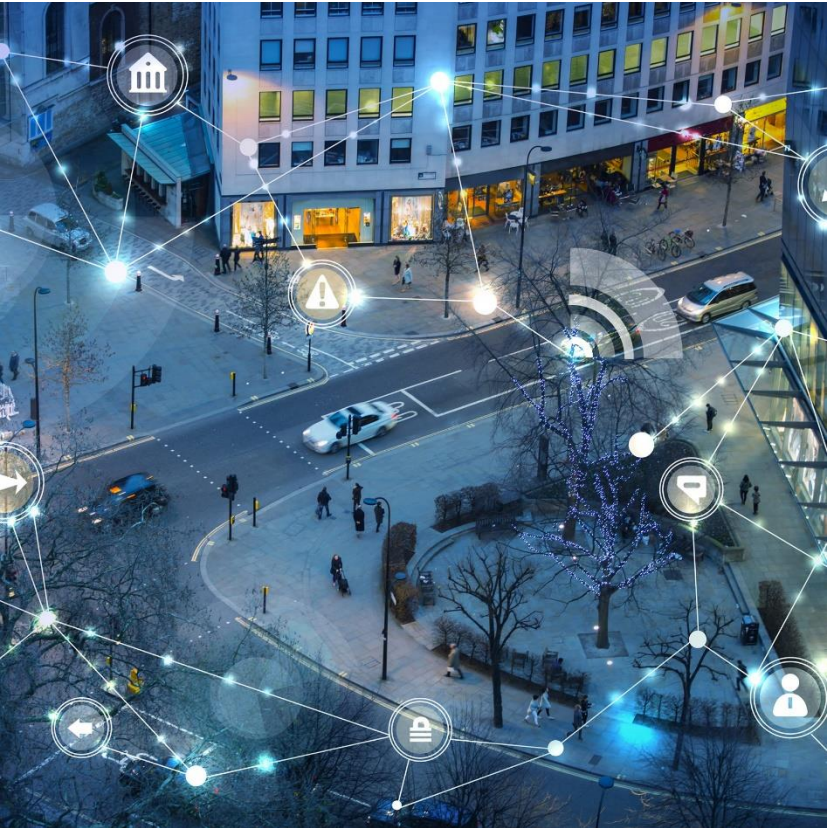
3rd Workshop on Ultra-high speed, Low latency and Massive Communication for Futuristic 6G Networks (ULMC6GN)



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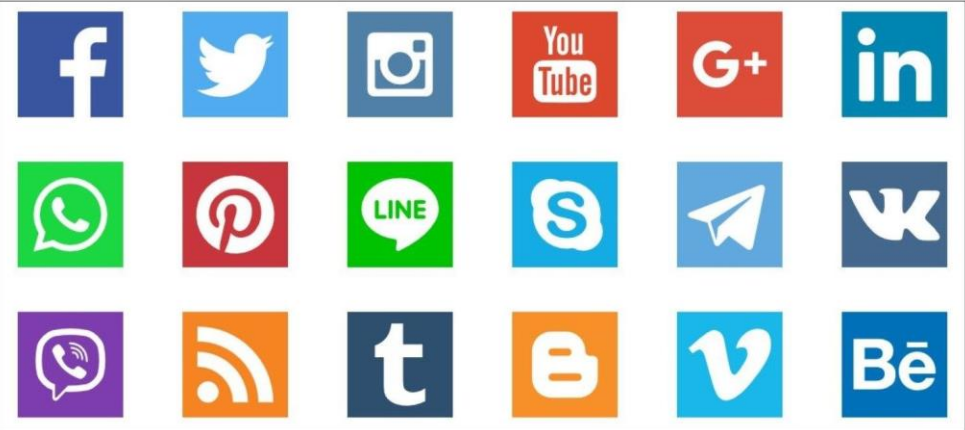


Major persistent trends



- Network densification
 - small cells

- “Beat the clock” race
 - Requirement for faster and faster access, lower and lower latency



- Growing demand for content
 - Internet platformisation

Caching at the edge as enabler

- New waveforms alone do not suffice to fulfil the networks ambitious objectives
 - support is needed “beyond-the-radio layers”
- Bringing caching functionality at the mobile network edge has been discussed for quite some time
 - Different alternatives have been analyzed as to how close to the user these caches can reach
 - Several tradeoffs have emerged involving performance, communication encryption, adaptability to user access patterns
 - Possibilities to combine caching with resource management functions



This work

Focuses on the **joint content caching and user association problem (JCAP)**

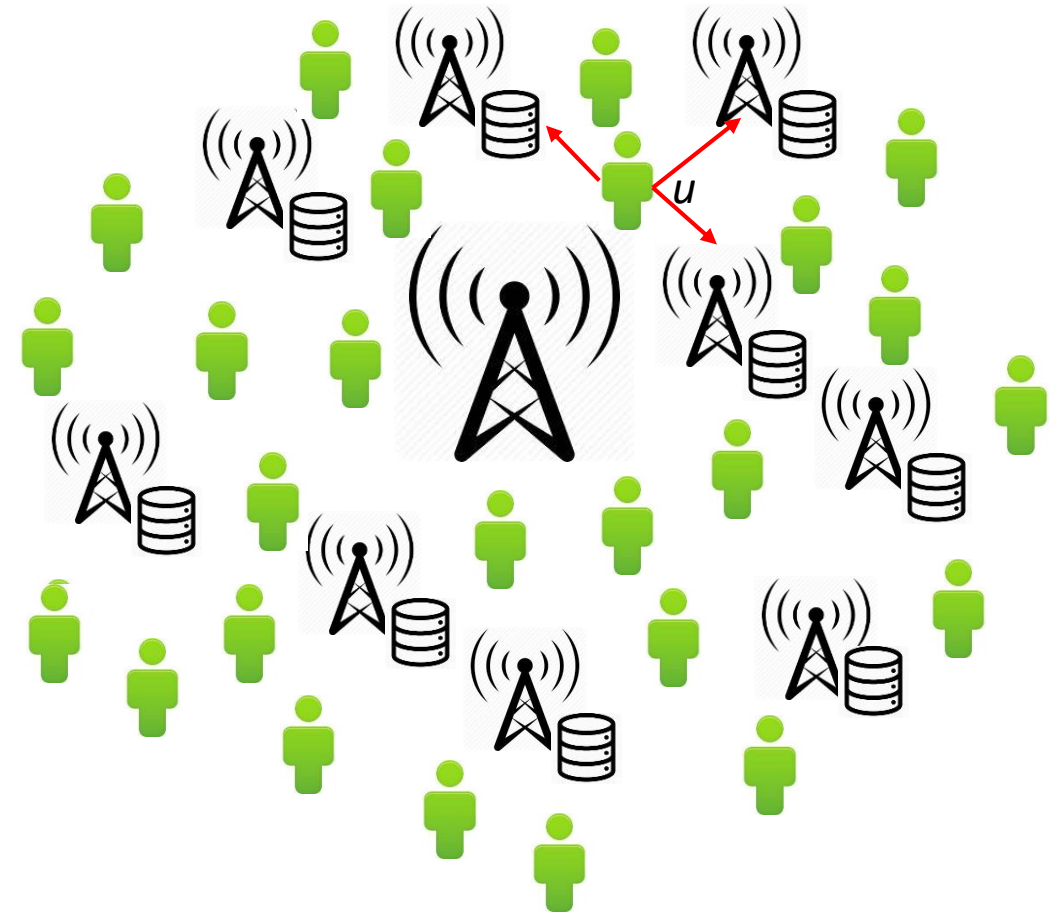
- Users may be associated with a single cell plus a macro cell at the same time; content is replicated at multiple caches; each content request is directed towards the cache of the small cell the user is associated with.
- Implies the capability to reiterate upon cached content and existing user associations each time a new user emerges and needs to associate with the network.

Contribution in a sentence

We propose, analyze, and assess a computationally efficient heuristic algorithm for the joint problem of content caching and user associations (JCAP) in dense small cell networks

System model - assumptions

- I : set of items
- U : set of users
- C : set of cache-enriched small cells (SBSs), besides the macro-cell
 - each cache with finite storage space L_c
 - each cell with finite capacity B_c
- $N(u)$: cells within range of user u
 - b_{uc} : association cost of user u to cell c
 - aggregate per-cell association cost is an additive function of individual association costs
 - p_{ui} : probability user u requests item i
 - perfect knowledge



The Joint content Caching and user Association Problem (JCAP)

- Two types of binary decision variables

$$x_{ic} = \begin{cases} 1 & \text{if item } i \text{ is stored at SBS cache } c \\ 0 & \text{otherwise} \end{cases}$$

$$y_{uc} = \begin{cases} 1 & \text{if user } u \text{ is associated with SBS } c \\ 0 & \text{otherwise} \end{cases}$$

- The optimization problem becomes

$$\max_{x,y} \quad \sum_{u \in U} \sum_{c \in N_u} \sum_{i \in I} p_{ui} x_{ic} y_{uc} \quad (\text{P1})$$

Aggregate cache hit ratio

$$\text{s.t} \quad \sum_{i \in I} l_i x_{ic} \leq L_c, c \in C \quad (1)$$

cache storage constraints

$$\sum_{u \in U} b_{uc} y_{uc} \leq B_c, c \in C \quad (2)$$

cell capacity constraints

$$\sum_{c \in N(u)} y_{uc} \leq 1, u \in U \quad (3)$$

each user can be associated with up to one SBS within her range

$$x_{ic}, y_{uc} \in \{0,1\}, u \in U, c \in C, i \in I$$

JCAP characterization

- JCAP is an instance of bilinear programming
 - class of non-convex quadratic programming
- It is trivial to show that JCAP is NP-hard (by generalization)
 - Fixing variables $\{x_{ic}\}$, the problem reduces to an instance of the Maximum Generalized Assignment Problem
 - cells \rightarrow bins, users \rightarrow items, bin-specific item profits \rightarrow the user demand satisfied by the content stored at each SBS cache
 - sometimes referred to as LEGAP in literature (e.g. Martello and Toth, *Knapsack problems*, pp. 190-191)
 - LEGAP is NP-hard and so is its generalization

Towards solving JCAP: linearization

- The products of binary variables in the JCAP objective can be linearized
- For each pair of variables (x_{ic}, y_{uc}) , $u \in U$, $c \in N_u$, $i \in I$, a new binary variable $z_{iuc} = x_{ic} y_{uc}$ can be defined, subject to the additional constraints:
 - $z_{iuc} \leq x_{ic}$
 - $z_{iuc} \leq y_{uc}$
 - $z_{iuc} \geq x_{ic} + y_{uc} - 1$
- Plugging z_{iuc} in the JCAP objective function and adding these constraints to the (P1) formulation, we get an Integer Linear Program (ILP)
 - with $O(CIU)$ additional decision variables and $O(3CIU)$ additional constraints with respect to (P1)
 - solvable with generic ILP solvers for adequately small (C, I, U) values to get the optimal solution OPT_{JCAP}

An iterative heuristic solution to JCAP (1/4)

Initialization phase

- Determine the cache placement at each SBS cache assuming that all users within range of a given cell are associated with it
 - Set $y_{uc} = 1$ for each SBS $\in N_u \rightarrow$ equivalent of solving (P1) relaxing the cell capacity and user association constraints
 - Each item $i \in I$ can satisfy demand $f_{ic} = \sum_{u:y_{uc}=1} p_{ui}$ when stored at cache $c \in C$
- Work independently with each cell cache $c \in C$

$$\begin{aligned} \max_x \quad & \sum_{i \in I} f_{ic} x_{ic} && \text{(P3a)} \\ \text{s.t} \quad & \sum_{i \in I} l_i x_{ic} \leq L_c && \text{cache storage constraints} \\ & x_{ic} \in \{0,1\}, i \in I \end{aligned}$$

and end up solving C instances of the 0-1 Knapsack Problem (KSP) to determine the cache placements $x_{ic}, i \in I, c \in C$

An iterative heuristic solution to JCAP (2/4)

Iterative phase – user association step

For given cache placements $x_{ic}, i \in I, c \in C$ determine/update the user associations

- each user bears cell-specific association cost b_{uc} and cache-specific profit $f_{uc} = \sum_{i:x_{ic}=1} p_{ui}$

Then solve one instance of the Generalized Assignment Problem over the whole network

$$\max_y \quad \sum_{u \in U} \sum_{c \in N_u} f_{uc} y_{uc} \quad (\text{P3b})$$

$$\text{s.t} \quad \sum_{u \in U} b_{uc} y_{uc} \leq B_c, c \in C$$

$$\sum_{c \in N(u)} y_{uc} \leq 1, u \in U$$

$$y_{uc} \in \{0,1\}, u \in U, c \in C$$

to determine the user associations to cells, $y_{uc}, u \in U, c \in C$, and yield the first feasible solution of the problem

An iterative heuristic solution to JCAP (3/4)

Iterative phase – cache placement step

- For given user associations y_{uc} , $u \in U$, $c \in C$, determine/update the cache placements
 - each item $i \in I$ can satisfy demand $f_{ic} = \sum_{u: y_{uc}=1} p_{ui}$ when stored at cache $c \in C$
- Then use these updated values of f_{ic} to solve anew the C instances of the 0-1 (KSP)

$$\begin{aligned} \max_x \quad & \sum_{i \in I} f_{ic} x_{ic} && \text{(P3c)} \\ \text{s.t} \quad & \sum_{i \in I} l_i x_{ic} \leq L_c && \text{cache storage constraints} \\ & x_{ic} \in \{0,1\}, i \in I \end{aligned}$$

and determine the cache placements x_{ic} , $i \in I$, $c \in C$ -

An iterative heuristic solution to JCAP (4/4)

- Overall, the heuristic proceeds iterating between the two steps of the iterative phase, the **cache placement step** and the **user association** step.
- The solution produced in each step is checked against the current one and replaces it as far as it improves upon it in terms of achievable cache hit ratio.

Properties

- The algorithm is correct and terminates in a finite number of steps
 - Its achieved solution is upper bounded by the OPT_{JCAP} value
 - In general, it is a local maximum that may deviate from OPT_{JCAP}
 - the evaluation of the algorithm (see later slides) shows tight match
- The time complexity of the algorithm is $O(kCIL_c)$, k : number of iterations (no more than 10 in all experiments reported later)

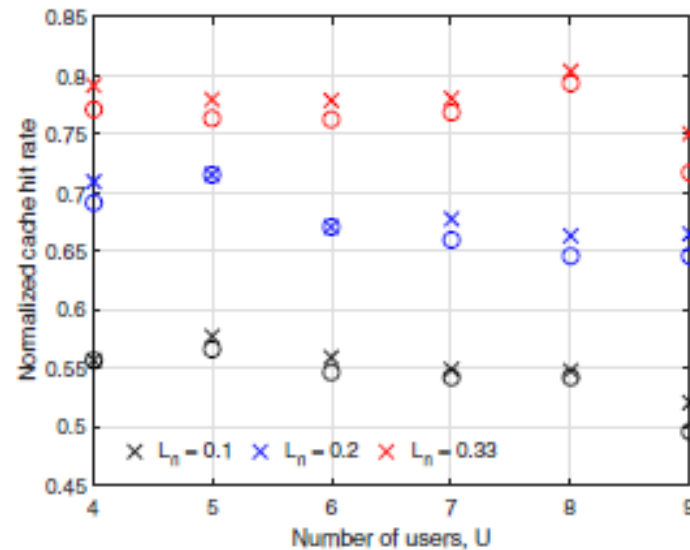
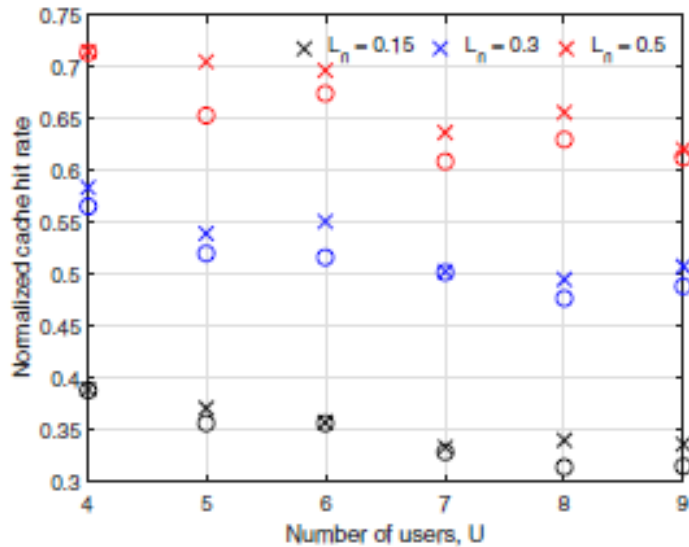
Evaluation – set up

The evaluation process evolves in two steps:

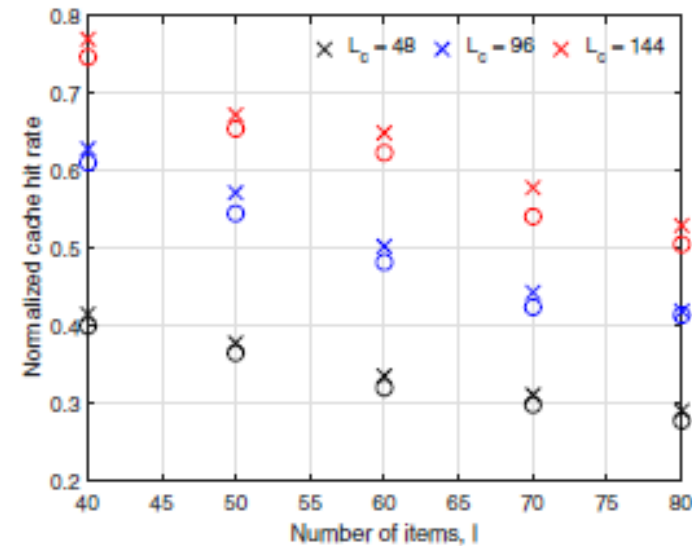
- Comparison of the heuristic solution with the optimal one
 - “Small” problem instances → the ILP solver can compute the optimal solution
 - Evidence about the accuracy of the algorithm – how well does it approximate the optimal solution
- Comparison of the heuristic solution with two alternative computationally feasible solutions
 - a **Greedy** algorithm and one that first determines the user associations and then the cache placements (**Decoupled**)
 - Realistic problem instances, amenable to sensitivity analysis and what-if scenarios
- In both steps
 - The item sizes and the user association costs vary randomly in $\{1, l_{\max}\}$ and $\{1, b_{\max}\}$, respectively
 - Two scenarios are considered for the content demand probabilities $\{p_{ui}\}$
 - Random → permutations of Zipf distributions are randomly assigned to users
 - Spatial Locality → users are clustered into N_{cl} clusters according to their physical location and identical distributions are assigned to each cluster

Small problem instances : heuristic vs. optimal

Variable users, $C = 2, I = 100$



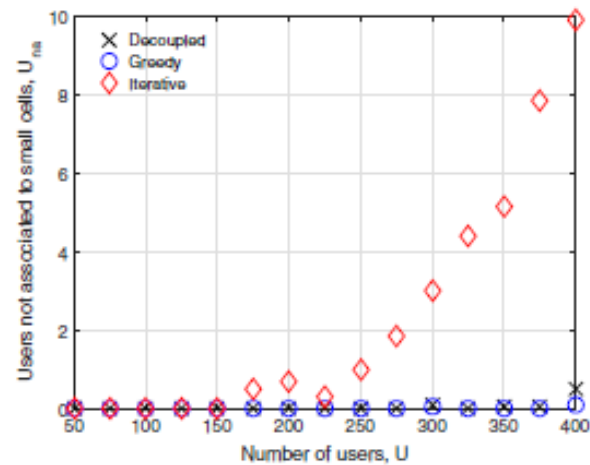
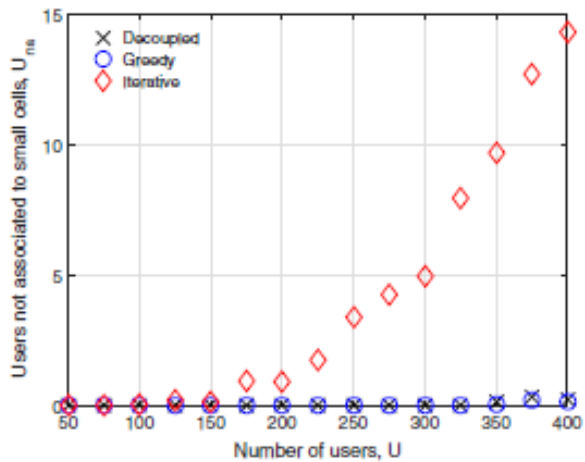
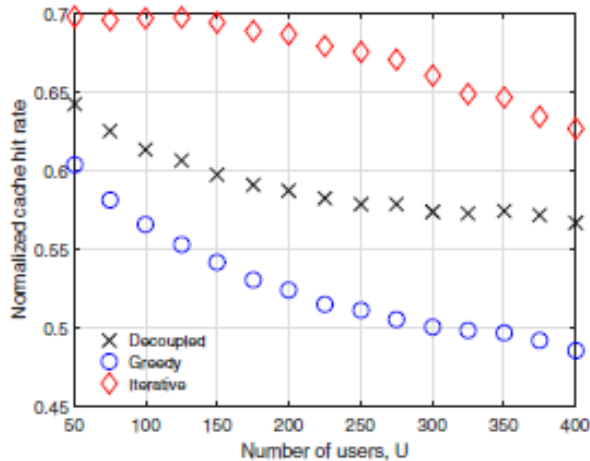
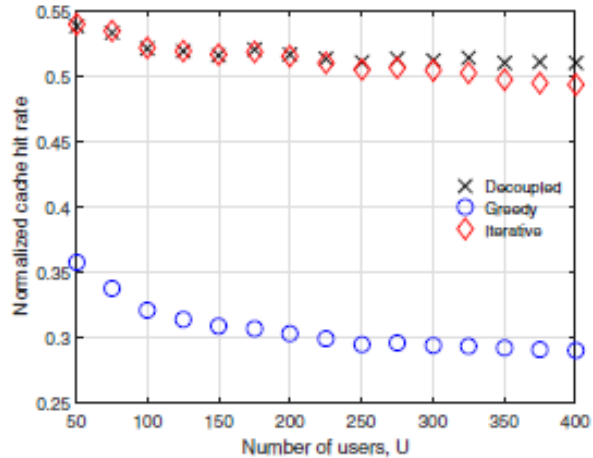
Variable items, $C = 3, U = 8$



- HR_{heur} : cache hit ratio under the heuristic algorithm
- HR_{opt} : optimal cache hit ratio
- $\Delta H = HR_{\text{opt}} - HR_{\text{heur}}$
- $G = \frac{\Delta H}{HR_{\text{opt}}} 100\%$

Comparison scenario	var Users	var Users	var Items
	rand. demand	clust. demand	rand. demand
median $\Delta H(G)$	0.001(0.2%)	0(0%)	0.004(0.81%)
95 th perc. $\Delta H(G)$	0.097(17.7%)	0.1(14.2%)	0.081(13.3%)
max $\Delta H(G)$	0.161(29.2%)	0.21(25%)	0.189(35.2%)

Realistic problem instances : results



Random demand,
 $L_n = 0.3$

Spatially local demand,
 $N_{cl} = 10, L_n = 0.1$

General performance trends

- Under random demand, the Decoupled heuristic competes with our iterative heuristic
 - and even outperforms it at high user load, managing to associate all users with some cell within range, whereas our iterative heuristic directs some users to the macro cell
- Under spatially local demand, our heuristic achieves up to 12% higher cache hit rates than the Decoupled heuristic
 - in those cases it matters more *which* users are grouped in each cell rather than only *how many*
 - this advantage pertains over a broad scenario of cache sizes and cell capacities (refer to the paper)
- In all experiments, the greedy algorithm ranks last

Conclusions – steps forward

- We have proposed a computationally efficient and performance-wise effective iterative heuristic algorithm for the joint problem of content caching and user associations (JCAP) in dense small cell networks
 - The algorithm iteratively solves multiple instances of the 0-1 KSP to determine cache placements and an instance of maximum GAP to derive the user associations
 - As a side contribution, we have defined two more heuristics for the JCAP – these serve as comparison references in our work
- The algorithm exhibits very good performance, in particular for the more realistic scenarios of spatial locality in the user demand for content
 - the measured gains in our experimentation vary from 3-15% over the second best option
 - moreover, in experiments with small problem instances, the algorithm matches closely the optimal solution
- Open questions and paths forward
 - algorithmic front : approximability properties of the algorithm
 - evaluation front : use of real data, with more realistic footprints of spatial locality, to confirm the good performance of the algorithm

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[Send your comments/questions to: mkaralio@aueb.gr](mailto:mkaralio@aueb.gr)



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